

01/26/01  
1984 U.S. PTO

<b>UTILITY</b>		Attorney Docket No.: D/96604	690-	Total Pages: 21
		009683US(PAR)		
First Named Inventor or Application Identifier				
Edward A. RICHLEY				
		Express Mail Label No.: EL627424866US		

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<b>APPLICATION ELEMENTS</b>		ADDRESS TO: <b>Assistant Commissioner for Patents Box Patent Application Washington, DC 20231</b>		
See MPEP Chapter 600 concerning utility patent application contents.				
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification (incl. claims) (Total Pages: 16)</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Total Sheets: 5)  <input type="checkbox"/> Informal    <input type="checkbox"/> Formal</p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration (Total Pages: 2)  a. <input checked="" type="checkbox"/> Newly executed    <input type="checkbox"/> Unexecuted- (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) <i>[Note Box 5 below]</i></p> <p><input type="checkbox"/> i. <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>				
<b>ACCOMPANYING APPLICATION PARTS</b>				
<p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Copy</p> <p>b. <input type="checkbox"/> Paper Copy (Identical to computer copy)</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p> <p>8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>9. <input checked="" type="checkbox"/> 37 CFR 3.73(b) Statement    <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449    Copies of IDS Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>14. <input type="checkbox"/> Small Entity Statement(s)    <input type="checkbox"/> Statement filed in prior application, Status still proper and desired</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (If foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Other:</p>				
<p>17. <input type="checkbox"/> If a <b>CONTINUING APPLICATION</b>, check appropriate box and supply the requisite information:  <input type="checkbox"/> Continuation    <input type="checkbox"/> Divisional    <input type="checkbox"/> Continuation-in-part (CIP)    of prior application No: /</p>				

**18. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Same as prior application <input checked="" type="checkbox"/> Correspondence address below					
NAME	Thomas L. Tully				
ADDRESS	PERMAN & GREEN, LLP		425 Post Road		
CITY	Fairfield	STATE	CT	ZIP CODE	06430
COUNTRY	U.S.A.	TELEPHONE	(203)259-1800		FAX (203)255-5170

UTILITY PATENT APPLICATION TRANSMITTAL - 37 CFR 1.53(b)  
(Executed Attachment to Page 1)

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19.  Cancel in this application original claims: \_\_\_\_\_ of the prior application before calculating the filing fee.  
(At least one original independent claim is retained for this filing).

20.  The filing fee is calculated below:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELED BY ABOVE-INDICATED AMENDMENT(S)				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c))	3 - 20 =	0	x \$ 18	\$0.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))	1 - 3 =	0	x \$ 80	= \$0.00
MULTIPLE DEPENDENT CLAIMS (IF APPLICABLE) (37 CFR 1.16(d))		ANY - - 0	\$ 270	= \$ 0.00
BASIC FEE (37 CFR 1.16(a))				710.00
TOTAL				710.00

21.  The Commissioner is hereby authorized to charge any filing or prosecution fees which may be required, under 37 CFR 1.16, 1.17, and 1.21 (but not 1.18), or to credit any overpayment, to Account No. 24-0037. An additional copy of this form is enclosed.

22.  This is an authorization under 37 CFR 1.136(a)(3) to treat any concurrent or future reply, requiring a petition for extension of time, as incorporating a petition for the appropriate extension of time.

23.  Amend the specification by inserting before the first line the sentence:  
--This application is a  continuation  continuation-in-part  divisional  
of Application(s) No(s). , filed --

24.  A CIP declaration is enclosed.

25.  Power of Attorney

- The power of attorney appears in the original papers of the enclosed prior application.
- Enclosed is a copy of the declaration and power of attorney from the enclosed prior application.
- A new declaration with power of attorney is enclosed.

UTILITY PATENT APPLICATION TRANSMITTAL - 37 CFR 1.53(b)  
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26.  The following inventors named in the prior application are deleted per 37 CFR 1.53(b)(1), 1.63(d)(2) and 1.33 (b):

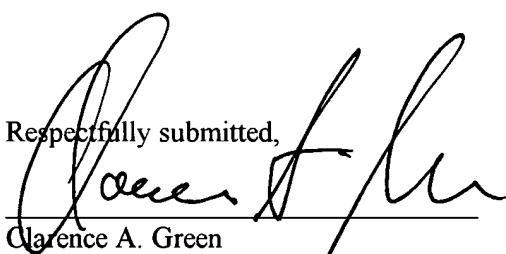
27.  This application is adding one or more inventors under 37 CFR 1.48 to a previously executed application, with an enclosed: petition, fee, newly executed declaration from all inventors, and written consent of the assignee.

28.  This application claims the priority benefit of one or more Provisional Application No(s). and the first sentence of this application has been or will be amended to so indicate.

29.  Priority is claimed from  
(reinsert all previous priority claims for the entire chain of any prior applications).

30.  Other paper(s) enclosed:

Respectfully submitted,

  
Clarence A. Green

Signature per 37 CFR 1.33 & 34

Date

Registration No. 24,622

Telephone No. (203)259-1800

Customer No. 2512

## Certification Under 37 C.F.R. § 1.0

I hereby certify that this New Application Transmittal and the documents referred to as attached therein are being deposited with the United States Postal Service on this date January 26, 2001 in an envelope as "Express Mail Post Office to Addressee," Mailing Label Number EL627424866US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231

Signature

Debi Conrad

Debi Conrad

Type or print name of person signing certification

1C918 U.S. PTO  
09/770430  
01/26/01



D/96604

690-009683-US (PAR)

Patent Application Papers Of:

Edward A. Richley

For:

ROTATION AND THRESHOLD MECHANISM FOR TWISTING BALL  
DISPLAY